

**Track Changes  
from Chapter 5 V1.08  
to Chapter 5 V1.09**

Chapter	Section	Page	Change
5	5.1	5-1	All Medicare and/or Medicaid-certified nursing homes and swing beds, or agents of those facilities, must transmit required MDS data records to CMS' Quality Improvement <b>and</b> Evaluation System (QIES) Assessment Submission and Processing (ASAP) system. Required MDS records are those assessments and tracking records that are mandated under OBRA and SNF PPS. Assessments that are completed for purposes other than OBRA and SNF PPS reasons are not to be submitted, e.g., private insurance, including but not limited to Medicare Advantage plans. After completion of the required assessment and/or tracking record information, each provider must create electronic transmission files that meet the requirements detailed in the current MDS 3.0 Data Submission Specifications available on the CMS MDS 3.0 web site at:
5	5.2	5-2	<ul style="list-style-type: none"> <li>• <b>Completion Timing:</b> <ul style="list-style-type: none"> <li>— For all non-comprehensive OBRA and PPS assessments, the MDS Completion Date (Z0500B) <del>may</del> <b>must</b> be no later than 14 days from the Assessment Reference Date (ARD) (A2300).</li> <li>— For the Admission assessment, the Care Area Assessment (CAA) Completion Date (V0200B2) <del>should</del> <b>must</b> be no more than 14 days from the Entry Date (A1600).</li> <li>— For the Annual assessment, the CAA Completion Date (V0200B2) <del>may</del> <b>must</b> be no later than 14 days from the ARD (A2300).</li> <li>— For the other comprehensive MDS assessments, Significant Change in Status Assessment and Significant Correction to Prior Comprehensive Assessment, the CAA Completion Date (V0200B2) <del>may</del> <b>must</b> be no later than 14 days from the ARD (A2300) and no later than 14 days from the determination date of the significant change in status or the signification correction respectively.</li> </ul> </li> </ul>
5	5.3	5-5	1. <b>Fatal File Errors.</b> If the file structure is unacceptable (e.g., it is not a ZIP file), the records in the ZIP file cannot be extracted, or the file cannot be read, then the file will be rejected. The <b>Submitter</b> Final Validation Report will list the Fatal File Errors. Files that are rejected must be corrected and resubmitted.
5	5.7	5-12	When errors in an OBRA comprehensive or quarterly assessment in the QIES ASAP system have been corrected in a more current OBRA comprehensive or quarterly assessment (Item <del>A0130A</del> <b>A0310A</b> = 01through 06), the nursing home is not required to perform a new additional assessment (Significant Change in Status

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			or Significant Correction to Prior assessment). In this situation, the nursing home has already updated the resident's status and care plan. However, the nursing home must use the Modification process to assure that the erroneous assessment residing in the QIES ASAP system is corrected.
5	5.7	5-12	When inactivating a record, the provider is required to submit an electronic Inactivation Request record. This record is an MDS record but only the Section X items and A0050 are completed. This is sufficient information to locate the record in the QIES ASAP system, inactivate the record and document the reason for inactivation.